



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Group Art Unit:
Balaram Sinharoy : 2183
Serial No.: 09/631,726 : Examiner:
Aimee J. Li
Filed: August 3, 2000 :
Title: BRANCH PREDICTION CIRCUITS AND : IBM Corporation
METHODS AND SYSTEMS USING THE SAME : Intellectual Property Law Dept.
Internal Zip 4054
11400 Burnet Road
Austin, Texas 78758

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. §1.97(c))**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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Technology Center 2100

**TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

1. This information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
- (1) a final action under §1.116 or
 - (2) a notice of allowance under §1.311,
- whichever occurs first.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on February 5, 2004.


Toni Stanley

STATEMENT OR FEE

2. Accompanying this transmittal is

(check either A or B below)

A. ☒ a statement as specified in 37 C.F.R. §1.97(e).

OR

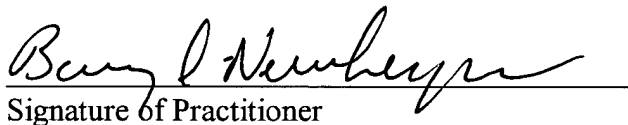
B. ☐ the fee set forth in 37 C.F.R. §1.17(p) for submission of a information disclosure statement under §1.97(c). (\$180.00).

FEE PAYMENT

3. Applicant elects the option to make the statement as specified in 37 C.F.R. §1.97(e), therefore no fee is due.

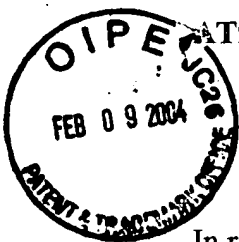
- 4.

If any additional fees are due, please charge Account 09-0447 (AT9-98-535).


Signature of Practitioner

Barry S. Newberger
Reg. No. 41,527

WINSTEAD SECHREST & MINICK P.C.
Attorney for Applicants
P.O. Box 50784
Dallas, Texas 75201
(512) 370-2808



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Balaram Sinharoy

Group Art Unit:

2183

Serial No.: 09/631,726

Examiner:

Aimee J. Li

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Title: BRANCH PREDICTION CIRCUITS AND
METHODS AND SYSTEMS USING THE SAMEIBM Corporation
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Internal Zip 4054
11400 Burnet Road
Austin, Texas 78758**STATEMENT FOR INFORMATION DISCLOSURE UNDER 37 C.F.R. 1.97(e)**Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**RECEIVED**

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**IDENTIFICATION OF INFORMATION DISCLOSURE
STATEMENT FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement
- ☒ accompanying this statement.
- ☐ filed _____.

STATEMENT

2. I (We), the person(s) signing below state:
- that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in § 1.56 more than three months prior to the filing of the information disclosure statement. 37 C.F.R. 1.97(e)(2).

CERTIFICATION UNDER 37 C.F.R. § 1.8

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Toni Stanley

IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT

3. The person making this statement is
(check each applicable item)
☐ the inventor(s) who signs below

Signature of inventor

(Type name of inventor who is signing)

- ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. § 1.56(c)) and who signs below.

Signature of Person Making Statement

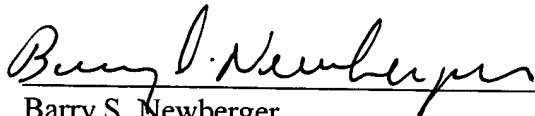
(type name of person who is signing)

Address of person who is signing

- ☒ the practitioner who signs below on the basis of the information:
(check each applicable item)

- ☐ supplied by the inventor(s)
☒ supplied by an individual designated in § 1.56(c).
☐ in the practitioner's file.

WINSTEAD SECHREST & MINICK P.C.
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Dallas, Texas 75201
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Barry S. Newberger
Reg. No. 41,527



AT9-98-535

PATENT

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Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

CERTIFICATION UNDER 37 C.F.R. § 1.8

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Toni Stanley


In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

By: 
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